

PATENT APPLICATION TRANSMITTAL LETTER
(Small Entity)

Docket No.
3874-128 US

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

Olivier Lutz; Evgueni Klinski; Shengmin Li and Valery Alakhov

For: **NOVEL PODOPHYLLOTOXIN COMPOSITIONS**

Enclosed are:

- ☒ Certificate of Mailing with Express Mail Mailing Label No. **EL 512716851 US**
- ☐ sheets of drawings.
- ☐ A certified copy of a _____ application.
- ☒ Declaration ☒ Signed. ☐ Unsigned.
- ☒ Power of Attorney
- ☐ Information Disclosure Statement
- ☐ Preliminary Amendment
- ☒ **One (1)** Verified Statement(s) to Establish Small Entity Status Under 37 C.F.R. 1.9 and 1.27.
- ☒ **Other: Assignment; Recordation Cover Sheet with check for \$40.00 and Return Receipt Postcard**

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	21	- 20 =	1	x \$9.00	\$9.00
Indep. Claims	4	- 3 =	1	x \$39.00	\$39.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$345.00
TOTAL FILING FEE					\$393.00

- ☒ A check in the amount of **\$393.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **13-2165** as described below. A duplicate copy of this sheet is enclosed.
 - ☐ Charge the amount of _____ as filing fee.
 - ☒ Credit any overpayment.
 - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
 - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: **January 20, 2000**

Mary Kakefuda

Signature

MARY KAKEFUDA Reg. No. 39,245

Mathews, Collins, Shepherd & Gould P.A.
100 Thanet Circle, Suite 306
Princeton, NJ 08540
Phone (609) 924-8555
Fax (609) 924-3036

CC:

1c542 U.S. PTO
09/488298
01/20/00

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN**

Docket No.
3874-128

Serial No.
TBA

Filing Date
TBA

Patent No.
TBA

Issue Date
TBA

Applicant/ **SUPRATEK PHARMA INC.**
Patentee:

Invention: **NOVEL PODOPHYLLOTOXIN COMPOSITIONS**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: **SUPRATEK PHARMA INC.**

ADDRESS OF CONCERN: **275 St. Jacques, Suite 700, Montreal, Quebec, CANADA H2Y 1M9**

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above identified invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

FULL NAME _____

ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____

ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____

ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____

ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Oleg Romar

TITLE OF PERSON SIGNING _____

OTHER THAN OWNER:

President

ADDRESS OF PERSON SIGNING:

275 St. Jacques, Suite 700, Montreal, Quebec, CANADA H2Y 1M9SIGNATURE: DATE: Jan 14/00

EXPRESS MAIL CERTIFICATE

I hereby certify that these papers and fees are being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Mary Kakefuda
Mary Kakefuda

"Express Mail" Mailing Label Number: EL512716851US

Date: January 20, 2000

1. Application for "Novel Podophyllotoxin Compositions" of 48 pages including abstract and 21 claims
2. Declaration and Power of Attorney (executed)
3. Certificate of Express Mail
4. Verified Statement to Establish Small Entity Status
5. Assignment
6. Recordation Cover Sheet
7. Check in the amount of \$393.00
8. Check in the amount of \$40.00
9. Patent Application Transmittal Sheet
10. Return Receipt Postcard

NOVEL PODOPHYLLOTOXIN COMPOSITIONSFIELD OF THE INVENTION

The invention relates to compositions of podophyllotoxins, analogues thereof or derivatives thereof with improved therapeutic properties. More particularly, the present invention relates to compositions comprising podophyllotoxins, analogues thereof or derivatives thereof, and a surfactant. The present also relates to podophyllotoxin compositions further comprising a targeting molecule.

BACKGROUND OF THE INVENTIONPODOPHYLLOTOXINS

In the field of natural products, podophyllotoxins represent an important chemical class of therapeutic agents. They are naturally-occurring compounds, found in plants, particularly in the genus *Podophyllum*. In 1844, in the first report on extraction of *Podophyllum*, the resin obtained from alcohol extraction was called podophyllin. In the 19th century, the resin podophyllin was recommended for treating diseases of the liver and kidneys and for scrofula, syphilis, gonorrhea and coughs. In 1942, Chaplains cured the venereal wart *Condyloma accuminata* with application of podophyllin in oil. This led to studies of the action of podophyllin on tumor tissues and to the chemical examination of podophyllin. A number of laboratories, particularly the National Cancer Institute, explored the activity and chemistry of many constituents of podophyllin (see *Hartwell and Schrecker, Fortschr. Chem. Org. Naturst.*, 1958, 15: 83). Podophyllotoxin was isolated from podophyllin in 1880. It belongs to the general structure of an aryltetralin lignan lactone.

Podophyllotoxins have various biological activities. They have attracted considerable interest as human cancer chemotherapeutic agents. *Podophyllum* and podophyllin has been used as a folk medicine for the treatment of cancer for over 1000 years. Severe toxic effects have restricted the use of podophyllotoxin as an anticancer agent. However, podophyllotoxin treatment continues to be the best for treating genital warts (see Benthert and Von Krogh, *Seminars in Dermatology*, 1990, 9: 148).

New podophyllotoxin derivatives have been developed to produce less toxic side effects. Two drugs have emerged, the epipodophyllotoxins, etoposide (VP-16) and teniposide (VM-26), which have wide spectrum antitumor activity. These two compounds are currently used for the treatment of a variety of cancers. Etoposide exhibits significant activity against oat carcinoma of the lung and ovarian cancer. Further, Etoposide is one of the most active single agents against small cell bronchus carcinoma, testicular carcinoma, choriocarcinoma and neuroblastoma (see Schmoll *et al.*, *Klinische Wochenschrift*, 1981, 59: 1177). It is also effective against myelocytic and monocytic malignancies in children. As part of a combination therapy, etoposide had become almost a standard in therapies for small cell lung cancer and testicular cancer. Teniposide is effective against acute lymphocyte leukemia, myelogenous leukemia, Hodgkin's diseases, histocytic lymphoma, Wilm's tumor, Ewing's sarcoma, and sarcoccygeal sarcoma (see Bleyer *et al.*, *Cancer Treatment Reports*, 1979, 63: 977). Both compounds are potent in the treatment of acute leukemia.

In addition of their antitumor activity, podophyllotoxins show significant antiviral activity. They have been reported to be active agents particularly against herpes simplex type-1 virus (HSV-1) (see Hammonds *et al.*, *J. Med. Microb.*, 1996, 45: 167), against murine cytomegalovirus (CMV), and sindbis virus (see Mac Rae *et al.*, *Planta Medica*, 1989, 55: 531).

Podophyllotoxin and its isomers were tested for other activities like insecticidal activity, phytogrowth inhibitory activity and ichthyotoxic activity.

Regarding the mechanism of action on cell growth, most podophyllotoxin drugs, including VP-16 (commercial formulation of etoposide; VEPESID®; Bristol-Myers Squibb) and VM-26 (commercial formulation of teniposide; VUMON®; Bristol-Myers Squibb) are DNA topoisomerase II inhibitors. The compounds act by causing single and double strand breaks in DNA (see Loike and Horwitz, *Biochemistry*, 1976, 15: 5443-48). Podophyllotoxin, their parent compound, acts by an entirely different kind of mechanism. It acts as a potent inhibitor of microtubule assembly by binding to the tubulin dimers.

Multiple drug resistance is often found in many types of human tumors that have relapsed after an initial positive response to chemotherapy (see Goldstein *et al.*, *Cancer Treat. Res.*, 1991, 57: 101; Goldstein *et al.*, *Crit. Rev. Oncol. Hematol.*, 1992, 12: 243). It may be attributed to alterations in the flux of drugs across the cell plasma membrane.

Resistant cells accumulate less drug than susceptible cells and have the ability to pump the drug out. Resistance mechanisms involve the over expression of a membrane pump, glycoprotein P (P-gp). By reducing the cellular net accumulation of the drug, P-gp contributes to tumor resistance to chemotherapy. In addition to the reduced intracellular concentration of the drugs, other resistance mechanisms have been reported for etoposide such as a qualitative change or reduction in topoisomerase II activity and the deactivation of the drug (see Lock and Hill, *Int. J. Cancer*, 1988, 42: 373-81). It has also been shown *in vivo* that long treatment with VP-16 induced crossed resistance to other anticancer drugs, such as anthracyclines and vindesine, and partial crossed resistance with daunorubicin. Furthermore, there is evidence that delivery vehicles effective in enhancing the activity of a chemotherapeutic agent may also have the ability of reversing resistance to such an agent. For example, pluronic block copolymers were shown to sensitize multidrug resistant cancer cells (Alakhov *et al.*, 1996, *Bioconjugate Chem.* 7:209).

Podophyllotoxins exhibit some toxic effects. The dose-limiting toxicity of epipodophyllotoxins is myelosuppression, mainly leukopenia (see Wolf *et al.*, *J. Clin. Oncol.*, 1987, 5: 1880-89). Other less severe toxic effects include gastrointestinal effects as occasional diarrhea, stomatitis, mucositis, and alopecia. There is no report on neurotoxicity of etoposide, and hypersensitivity reactions to etoposide have rarely been reported. Teniposide toxicities are identical to those of etoposide although allergic reactions are seen more frequently.

Podophyllotoxins exhibit poor biodistribution, for example, very low or unquantifiable concentrations of etoposide or teniposide are found in cerebrospinal fluid after intravenous administration in children and adults, whereas there is some penetration of these drugs in brain tumors (see Stewart *et al.*, *J. Neuro-oncology*, 1984, 2(2): 133-39; Stewart *et al.*, *J. Neuro-oncology*, 1984, 2(4): 315-24). Low concentrations of both compounds have been reported in pleural and ascitic fluids. Etoposide and its hydroxy metabolite have been detected in liver, spleen, renal tissues, lung, myometrium, subcutaneous tissues and saliva. Furthermore, etoposide and teniposide have been shown to penetrate into liver, kidney, spleen, brain tissue, heart and intestine of mice.

Due to a low aqueous solubility, administration of podophyllotoxins is associated with clinical problems. Etoposide, which has a limited chemical and physical stability in water,

requires formulations with complex mixtures of alcohols and surfactants for intravenous administration (see, for example, Beijnen *et al.*, *J. Parenteral Science & Technology*, 1991, 45:108-12). Another way to overcome the solubility problems is to modify the molecule. More recently, the 4'-phosphate ester of etoposide has been developed as a prodrug of the parent compound for intravenous use (ETOPOPHOS®, Bristol-Myers Squibb Co, Princeton, NJ, USA, see Witterland *et al.*, *Pharmacy World & Science*, 1996, 18(5): 163-70). This derivative exhibits a better aqueous solubility but must be rapidly converted *in vivo* to etoposide by alkaline phosphatases in the blood to exert its cytotoxic activity.

The more convenient oral administration of etoposide has been widely investigated. There is no pharmacological difference between oral and intravenous administration of etoposide with respect to drug mechanism of action, half-life, mode of drug elimination or type of toxicity. Several oral formulations have been evaluated. However, all these formulations yielded poor oral bioavailabilities with high intra- and interpatient variabilities in the rate of etoposide absorption. One reason is that when etoposide is diluted with aqueous solvents such as gastric and intestinal secretions, its solubility is inevitably compromised. Bioavailability of oral etoposide was reported to range from 40 to 75% depending on the drug dose (see Hande *et al.*, *J. Clin. Oncol.*, 1993, 11: 374 -77).

Thus, it would be beneficial to have new formulations of podophyllotoxins to overcome the problems of its low solubility and its irregular and unpredictable precipitation from an aqueous environment; and to improve its antitumor activity.

SURFACTANTS

Surfactants, such as d- α -tocopheryl polyethylene glycol 1000 succinate (TPGS) and the like, were used in the past as a drug delivery vehicles either as an emulsifier or a solubilizer, and TPGS is considered to be an absorption enhancer when administered with some lipophilic drugs. However, the compounds of the present invention differ dramatically from those known previously.

U.S. Patent No. 5,798,333 issued to Sherman describes water-soluble compositions of cyclosporins dissolved in tocophersolan and a hydrophilic organic solvent. Unlike that previously described in Sherman, the compositions of the present invention dispense with the use of a co-solubilizer or a hydrophilic organic solvent. Moreover, the present method of

preparation does not consist of melting TPGS above 36°C and dissolving the drug into the melted TPGS. In the present invention, both TPGS and a drug are dissolved in an organic solvent that is distilled off under vacuum.

US Pat. No. 4,578,391 issued to Kawata *et al.* Describes oily compositions of an anti-tumor drug comprising certain sparingly oil-soluble or water-soluble anti-tumor drugs, an effective amount of a fat or oil, and an effective amount of certain solubilizing adjuvants. Unlike that previously described in Kawata, the present invention dispenses with the use of a fat or oil in the composition. Also, the present invention provides a composition in a micellar solution form and not in an oil-in-water emulsion form.

US Pat. No. 5,886,030 issued to Maniar and Manoj describes the use of Vitamin E tocopheryl derivatives in ophthalmic compositions, which increases the solubility of certain poorly soluble ophthalmic agents. Maniar describes only therapeutic selected from the group of non-steroidal anti-inflammatory agents and steroidal anti-inflammatory agents. Unlike previously described in Maniar, the present invention includes drugs belonging preferably to the group of podophyllotoxin derivatives. In addition, in the present invention, the drug is not dissolved in a TPGS water solution. Instead, both TPGS and a drug are dissolved in an organic solvent that is distilled off under vacuum, and the drug/TPGS solid solution obtained is then dispersed with phosphate buffer saline (PBS) or another aqueous medium.

US Pat. No. 5,891,469 issued to Amselem describes solid compositions comprising a lipophilic substance and tocopherol polyethylene glycol succinate (TPGS) and an adjuvant. Amselem also describes methods of preparing these solid compositions by comelting the TPGS and lipophilic substance, adding the adjuvant, and drying the mixture. Unlike that previously described in Amselem, the composition of the present invention comprises two ingredients, a derivative of podophyllotoxin or another lipophilic drug, and TPGS or its derivative. Preferably, the present invention uses the micellar microphase formed by TPGS at the concentration range of 0.02 wt % up to 10 wt % that provides highly stable spontaneously formed particles of a small size. Moreover the formulation of the present invention is prepared without co-melting TPGS and a lipophilic drug but by using an organic solvent evaporation technique.

US Patent No. 5,891,845 issued to Myers describes compositions of vitamin E TPGS and one or more lipophilic drugs in a solid solution. Unlike that previously described in Myers,

the present invention does not relate to pharmaceutical drug compositions and delivery devices that form liquid crystal structures with TPGS. The compositions of the present invention provide aqueous micellar solutions of drug/TPGS.

5 Citation of a reference hereinabove shall not be construed as an admission that such a reference is prior art to the present invention.

SUMMARY OF THE INVENTION

10 The present invention provides a composition comprising (a) podophyllotoxin, an analogue thereof or a derivative thereof; and (b) a surfactant, wherein the composition forms a micelle.

Further, the present invention provides a composition comprising (a) a podophyllotoxin, an analogue thereof or a derivative thereof; (b) a surfactant; and (c) a targeting molecule; wherein the composition forms a micelle.

15 The invention also provides a method of treating an animal comprising administering to the animal a composition comprising a podophyllotoxin, an analog thereof or a derivative thereof; and a surfactant; wherein the composition forms a micelle. More particularly, the surfactant of the composition is TPGS or a derivative thereof.

20 The present invention also provides for a method of delivering a podophyllotoxin, an analog thereof or a derivative thereof to a cell comprising administering to the cell a composition comprising: a podophyllotoxin, an analog thereof or a derivative thereof; and a surfactant; wherein the composition forms a micelle. Further, the present invention provides a method of inhibiting cancer comprising administering to an animal having cancer a composition comprising: a podophyllotoxin, an analog thereof or a derivative thereof; and a surfactant; wherein the composition forms a micelle.

25 The invention is based in part, on a number of unanticipated surprising discoveries. One is the discovery that the ability of TPGS to solubilize a significant amount (for example, 10 mg/ml of podophyllotoxin) by forming a stable dispersion, is essential in view of the current invention. Other ionic and non-ionic surfactants, for example, PLURONIC P85, PLURONIC 127, PLURONIC 123, PLURONIC 68, PLURONIC 108, 88, 61, polyethyleneimine-polyoxyethylene do not solubilize podophyllotoxin. Furthermore,

30

podophyllotoxin formulation for administration in the body needs to be chemically and thermodynamically stable. For example, 80% solutions of TWEEN-80 in alcohols are capable of solubilizing podophyllotoxin at a concentration of 20 mg/ml. Before administration into the body this solution must be diluted with an aqueous solution such as an isotonic solution. However, after such a dilution podophyllotoxin precipitates within several hours. This severely limits therapeutic use of the existing podophyllotoxin formulations. Podophyllotoxin formulation of the current invention is stable at room temperature for at least 3 days. During storage of the present formulation, no chemical decomposition of podophyllotoxin is observed. These findings together indicate that the formulations of the current invention are novel.

The invention has utility in providing compositions of podophyllotoxins in micelle form. Such compositions are useful for example, for administration to an animal or patient for treatment of diseases, inhibiting cancer, or delivering podophyllotoxins to a cell.

DEFINITIONS

The terms or phrases listed below shall have the following meaning:

Biological agent: An agent that is useful for treating, diagnosing or imaging or that can act on a cell, organ or organism, including but not limited to drugs (pharmaceuticals) to create a change in the functioning of the cell, organ or organism.

Chemotherapeutic agent: A biological agent that inhibits the growth or decreases the survival of neoplastic or pathogenic microbial cells or inhibits the propagation (which includes without limitation replication, viral assembly or cellular infection) of a virus.

Hydrophobe percentage: The percentage of the molecular weight of a block copolymer that is made up of B-type blocks.

Hydrophobe weight: The molecular weight contribution of the B-type blocks of a block copolymer.

IC₅₀: The concentration at which 50% cytotoxicity is obtained. Cytotoxicity can be measured by the method of Alley *et al.*, *Cancer Res.*, 48: 589-601 (1988) or Scudiero *et al.*,

Cancer Res., 48: 4827 (1988). In particular, it can be measured based on the drug concentration at which a 50% reduction in the activity of mitochondrial enzymes is observed.

IC₉₅: The concentration at which 95% cytotoxicity is obtained. Cytotoxicity can be measured by the method of Alley *et al.*, above, or Scudiero *et al.*, above. Specifically, it can be measured based upon the drug concentration at which a 95% reduction in the activity of mitochondrial enzymes is observed.

Lipophilic moiety: A lipophilic substituent that is joined to a targeting moiety and that partitions into the lipophilic portion of copolymer micelles.

MDR: The phenomenon of simultaneous resistance to unrelated biological agents.

Targeting moiety: A molecular structure that is recognized by a cellular, tissue, viral or substratum component such as a cell surface receptor or acceptor molecule.

Critical micellar concentration (CMC): The minimal concentration at which a surfactant forms micelles.

Hydrophile/lipophile balance (HLB): A quantitative measure of the hydrophilicity and lipophilicity of a surfactant, and therefore of its solubilization properties. A HLB value between 12 and 14.5 is effective for solubilization of membranes and lipids.

Micelles: Micelles are supramolecular complexes of certain amphiphilic molecules that form in aqueous solutions due to microphase separation of the nonpolar portions of the amphiphiles. Micelles form when the concentration of the amphiphile reaches, for a given temperature, a critical micellar concentration ("CMC") that is characteristic of the amphiphile. The micelles have translational and rotational freedom in aqueous environment, and aqueous environments containing the micelles have low viscosity similar to water.

The effective retention of a drug within the micelles of the invention can be quantified in terms of the partitioning coefficient (P) determined using formula:

$$P = (\text{Agent})_m / (\text{Agent})_{aq}$$

where (Agent)_{aq} is the concentration of biological agent in an aqueous environment outside of the micelles and (Agent)_m is the concentration of agent in the micelles. In some

cases, P is easily and accurately estimated based on the difference fluorescence properties of certain agents when in an aqueous vs. a more hydrophobic environment.

Surfactant: Surface active agent that is adsorbed at interface.

5

DETAILED DESCRIPTION OF THE INVENTION

The present invention provides compositions of podophyllotoxins, analogs thereof, or derivatives thereof and a surfactant wherein the compositions form a micelle. The invention also provides for these compositions further comprising a targeting moiety. The invention also provides for methods of treatment of animals, methods of inhibiting cancer and methods of delivering podophyllotoxins to cells.

10

Solely for ease of explanation, and not by way of limitation, the description of the invention is divided into the following sections: (1) podophyllotoxins, (2) surfactants, (3) targeting molecules, and (4) methods of use.

15

PODOPHYLLOTOXINS

In one embodiment, the invention provides for compositions comprising: podophyllotoxins, an analog or derivative thereof and a surfactant.

In a specific embodiment, the podophyllotoxin, analog thereof or derivative thereof is etoposide or teniposide.

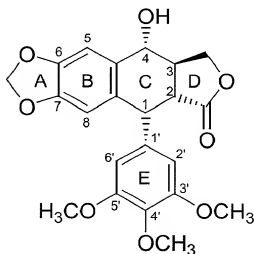
20

In another embodiment, the podophyllotoxin, analog thereof or derivative thereof are etoposide derivatives.

In other specific embodiments, the compositions of the present invention comprise podophyllotoxin derivatives selected from the group consisting of the podophyllotoxins listed on the following pages.

25

Preferred chemotherapeutic agents included in the invention are podophyllotoxin derivatives. Podophyllotoxin is of the formula:



Based on the activities of podophyllotoxin some of the structure-activity relationships proved to be useful for the synthesis of less toxic analogues with high antitumor activity (for review, see Damayanthi and Lown, *Current Medicinal Chemistry*, 1998, 5: 205-252; Imbert, *Biochimie*, 1998, 80: 207-222). Derivatives of podophyllotoxin which can be used in this invention are derivatives modified in rings C and E including epidodophyllotoxin, deoxypodophyllotoxin, β -peltatin, 4'-demethylpodophyllotoxin, 4'-demethylepidodophyllotoxin, 4'-demethyldeoxypodophyllotoxin, α -peltatin, VM-26 (teniposide), VP-16-213. Also included are derivatives modified in ring D including deoxypodophyllotoxin, podophyllotoxin-cyclic ether, deoxypodophyllotoxin-cyclic ether, deoxypodophyllotoxin-cyclopentane, deoxypodophyllotoxin-cyclopentanone, podophyllotoxin-cyclic sulfide, deoxypodophyllotoxin-cyclic sulfide, podophyllotoxin-cyclic sulfone, deoxypodophyllotoxin-cyclic sulfone. Further, included are the derivatives

15 picropodophyllotoxin, dehydropodophyllotoxin, glucoside derivatives of VP-16 and VM-26, 4'-demethylepidodophyllotoxin and glucoside derivatives, acetal and ketal derivatives of 4'-demethylepidodophyllotoxin- β -D-glucoside (DMEPG), epipodophyllotoxin- β -D-glucoside (EPG). Compounds with A-ring modifications included are: podophenazine, 2',3'-dichloropodophenazine, benzopodophenazine and their 4 β -p-nitroaniline derivatives.

20 Compounds with B-ring modifications include α -peltatin esters and ethers including its glycosidic ethylidene and thenilidene cyclic acetals. Compounds with C-ring modifications are the ring C aromatized analogues of podophyllotoxin, benzodioxole lactones which are

analogues of podophyllotoxin, triazene and aziridine derivatives of podophyllotoxin such as 4-oxa-2-podophyllotoxin and 4-thia-2-azapodophyllotoxin.

Compounds with D-ring modifications, include picropodophyllotoxin which is the thermodynamically stable cis epimer of podophyllotoxin, and its other cis analogues and trans isomers, the cyclopentane and cyclopentanone derivatives, the hydroxy derivatives such as anhydropodophyllol and deoxyanhydropodophyllol, the series of 2-substituted podophyllotoxine derivatives including 2-methyl, 2-chloro-2-hydroxy- and 2-bromopodophyllotoxin, and the derivative N'-podophyllacid-N- [3- (2,2,5,5,-tetramethyl pyrrolinenyloxy)]semi-carbazine (GP-11), etoposide lactam.

Also included are the desoxy E-ring analogues of etoposide, and 3',4',5'-tridemethoxy (-) podophyllotoxin, the E-ring deoxygenated analogue of (-) podophyllotoxin.

Among the substituted podophyllotoxin aglycones are the C4 -C- podophyllotoxin aglycones *i.e.* 4 β -alkyl, 4 β -aminoalkyl and 4 β -aminoalkyl derivatives of 4'-O-demethyl-4-desoxypodophyllotoxin, 1- β -alkyl derivatives of podophyllotoxin, 4- β -aminoalkyl-4-O-demethyl-4-desoxypodophyllotoxin (TOP-53). The C4-O-podophyllotoxin aglycones include 4'-demethylpodophyllotoxin-lexitropsin conjugates, ester derivatives of the C4 hydroxyl group of 4'-demethylpodophyllotoxin, thymidine derivatives of podophyllotoxin. The C4-N-podophyllotoxin aglycones include 4-hydroxylated and halogenated anilinderivatives of epipodophyllotoxin with substitution on the β -anilino moiety with CN, COOCH₃, COOC₂H₅OH and COOCH₃, OCH₃, COCH₃, CH₂OH, OCH₂O, OCH₂CH₂O, phenoxy, morpholino, NO₂, either at the para and/or the meta position, 4'-demethylepipodophyllotoxin, 2'-chloro derivatives of etoposide and 4 β -(arylamino)-4'-O-demethylpodophyllotoxin, 6,7-O,O-demethylene-4'-O-demethyl 4 β -(substituted anilino)-4-deoxy podophyllotoxins, 6,7-O,O-demethylene-6,7-O,O-dimethyl-4'-O-demethyl-4 β -(substituted anilino)-4-desoxypodophyllotoxins and their 4'-O-methyl analogues. Are also included 4-azido, 4-amino, amido and 4-alkoxy derivatives of podophyllotoxin and 4'-demethylepipodophyllotoxin and also ortho-quinone analogues of podophyllotoxin possessing various C-4 β -anilino moieties, and also 4 β -arylamino derivatives of 4'-O-demethylpodophyllotoxin and also 4'-O-demethyl-4-desoxypodophyllotoxin and 4 β -benzoyl derivatives of 4'-O-demethylpodophyllotoxin. Amongst the C4-S-podophyllotoxin aglycones are the 4'-O-demethylepipodophyllotoxin derivatives possessing various 4 β -N-, 4 β -O- or 4 β -

S-aromatic rings. Also included are the podophyllotoxin derivatives with one of the hydroxyl groups in the glycosidic moiety substituted by an alkylamino group.

- Also included in this invention are the 2-azapodophyllotoxin analogues, the 4-desoxy-2-azapodophyllotoxins, the benzoquin-olizidine analogues of podophyllotoxin, and azatoxin,
5 which is an analogue hybrid between VP-16 aglycon and ellipticin, and its derivatives.

SURFACTANTS

In the present invention, the surfactants of the podophyllotoxin compositions can be surfactants known in the art and commonly used to solubilize drugs.

- 10 In this invention the surfactants used include, but are not limited to TPGS and derivatives of TPGS, which will have modified CMC and HLB, formed by attaching a polymer on the tocopherol succinate portion or by attaching TPGS to the hydroxyl group of polyethylene glycol (PEG).

- In a specific embodiment, the surfactant to solubilize a podophyllotoxin is d- α -
15 tocopheryl polyethylene glycol 1000 succinate, also known under the name Vitamin E TPGS, or simply TPGS, or listed by the United States Adopted Names as tocophersolan.

In a specific embodiment, the podophyllotoxin composition comprises podophyllotoxin, analog thereof or derivative thereof and tocoferol.

- In another specific embodiment, the podophyllotoxin composition comprises a
20 podophyllotoxin, analog thereof or derivative thereof and the surfactant tocoferol is covalently linked to a water-soluble polymer.

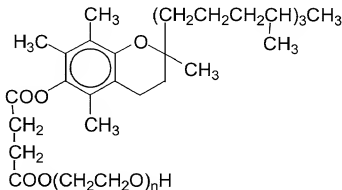
- In yet another specific embodiment, the podophyllotoxin composition comprises a podophyllotoxin, analog thereof or derivative thereof and the surfactant tocoferol covalently linked to a water-soluble polymer wherein the water-soluble polymer is poly-oxyethylene,
25 poly-oxyethylene-poly-oxypropylene copolymers polyacrylamides, polyglycerols, polyvinylalcohols, polyvinylpyrrolidones, polyvinylpyridine N-oxides, copolymers of vinylpyridine N-oxide and vinylpyridine, polyoxazolines, polyacrylmorpholines or derivatives thereof.

In a more specific embodiment, the podophyllotoxin composition comprises a podophyllotoxin, analog thereof, or derivative thereof and the surfactant tocoferol which is covalently linked to a water-soluble polymer wherein the water-soluble polymer is a polypeptide or derivative thereof.

- 5 In yet another more specific embodiment, the podophyllotoxin composition comprises a podophyllotoxin, analog thereof or derivative thereof and the surfactant tocoferol covalently linked to a water-soluble polymer wherein the water-soluble polymer further comprises a hydrophobic group other than tocoferol.

The surfactant is of the formula:

10



TPGS is a water-soluble derivative of the natural source of vitamin E. It is structurally an amphiphile and it is accepted that the polyethylene glycol portion serves as the hydrophilic part of the molecule while the tocopherol succinate portion serves as the lipophilic part.

15

Initially, Vitamin E TPGS was used for reversion or prevention of vitamin E deficiency during chronic cholestasis,. Additionally, Vitamin E TPGS solubilizes and improves intestinal absorption of other fat-soluble vitamins and medications. It has been clinically demonstrated to enhance the bioavailability of the highly lipophilic drug cyclosporin (see Sokol *et al.*, *The Lancet*, 1991, 338: 212-15).

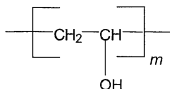
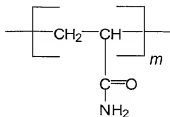
20

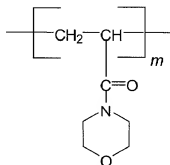
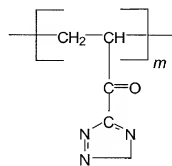
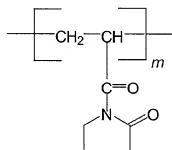
TPGS has the physical properties to exhibit both a high hydrophile/lipophile balance (HLB) of approximately 13 and a low critical micelle concentration (CMC) of approximately 0.02 wt % at a temperature of 37 °C. Its melting point is approximately of 38 °C (100 °F).

TPGS exhibits a very high thermal stability under normal processing temperatures used for pharmaceutical applications since its thermal degradation occurs at 199 °C. This characteristic makes it stable under heat sterilization conditions. It forms low viscosity solutions with water until a concentration up to 20 wt %, beyond which liquid crystalline phases may form.

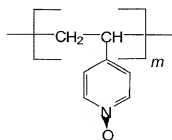
The polymers which can extend the hydrophilic part of the surfactant can include, but are not limited to, other PEG molecules preferably having a molecular weight between 300 and 20000. The hydrophilic portion can also be extended by polymers and copolymers by using, for example, but not limited to, a diamine linker.

Among the polymers which can be used in the TPGS derivatives are water-soluble polymers. The preferred nonionic polymer is a homopolymer or copolymer of at least one of the monomers selected from the group consisting of acrylamide, glycerol, vinylalcohol, vinylpyrrolidone, vinylpyridine, vinylpyridine N-oxide, oxazoline, or a acroylmorpholine, and derivatives thereof. This includes, for example, polyacrylamides, polyglycerols, polyvinylalcohols, polyvinylpyrrolidones, polyvinylpyridine N-oxides, copolymers of vinylpyridine N-oxide and vinylpyridine, polyoxazolines, polyacroylmorpholines or derivatives thereof. Nonionic segments comprising products of polymerization of vinyl monomers are also preferred, including but not limiting to, the following nonionic polymer segments and derivatives thereof having the formulas:





, or

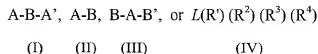


5 in which *m* has a value of from 3 to about 10,000.

In another specific embodiment of the invention, the surfactant is a TPGS derivative. More particularly, the TPGS is attached covalently to nonionic polymers to the tocopherol succinate portion in place of the polyethylene glycol portion.

These nonionic polymers include, but are not limited to, the group of water-soluble polymers which are nontoxic and non-immunogenic. The preferred nonionic polymer segment, which is a water-soluble nonionic polymer segment, is a homo- or copolymer of at least one of the monomers selected from the group consisting of acrylamide, glycerol, vinylalcohol, vinylpyrrolidone, vinylpyridine, vinylpyridine N-oxide, oxazoline, or a acrylmorpholine, and derivatives thereof. Nonionic segments comprising products of polymerization of vinyl monomers are also preferred.

In another specific embodiment of the invention, the nonionic polymers attached to the tocopherol succinate portion of TPGS also include polyether block copolymers. The polyether block copolymer is selected from the group consisting of polymers having the formulas:



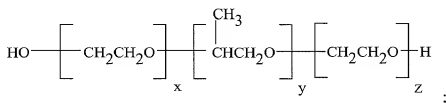
wherein A and A' are A-type linear polymeric segments, B and B' are B-type linear polymeric segments, and R¹, R², R³ and R⁴ are either block copolymers of formulas (I), (II) or (III) or hydrogen and L is a linking group, with the proviso that no more than two of R¹, R², R³ or R⁴ are hydrogen.

The hydrophobe weight of the copolymer is at least about 900, more preferably, at least about 1700, yet more preferably at least about 2000, still more preferably at least about 2300. The hydrophobe weight is at least about 2000 and the hydrophobe percentage is at least about 20%, preferably 35%; or the hydrophobe weight is at least about 2300 and the hydrophobe percentage is at least about 20%, preferably 35%.

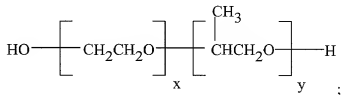
The copolymer or copolymers of the composition have a critical micellar concentration ('CMC') of no more than about 0.5% wt/vol at 37°C in an isotonic aqueous solution, preferably, no more than about 0.05% wt/vol., more preferably, no more than about 0.01% wt/vol., yet more preferably, no more than about 0.003% wt/vol.

Preferably, the copolymers of the composition conform to Formula (V), which is set forth in the text below. Particularly preferred among these copolymers are those having hydrophobe weights between about 1500 and about 2000, preferably between about 1710 and about 1780, and hydrophobe percentages between about 85% and about 95%, preferably between about 88% and about 92%. Also particularly preferred among these copolymers are those having hydrophobe weights between about 3000 and about 3500, preferably between about 3200 and about 3300, and hydrophobe percentages between about 15% and about 25%, preferably between about 18% and about 22%. Additionally, particularly preferred among these polymers are that having hydrophobe weights between about 3500 and about 4000, preferably between about 3700 and about 3800, and hydrophobe percentages between about 25% and about 35%, preferably between about 28% and about 32%.

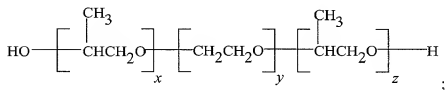
In a more specific embodiment of the invention, the preferred block copolymers are of the formula:



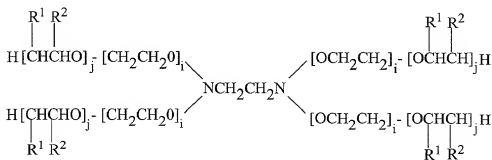
(V)



(VI)



(VII)



(IX)

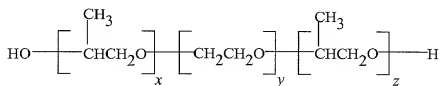
wherein for each R^1, R^2 pair, one is hydrogen and the other is a methyl group. Preferred are those block copolymers wherein the ethylene(oxide) content of said block copolymer is less than 50%.

Further, an embodiment of the present invention includes block copolymer derivatives of TPGS of formulas (V)-(IX) in which $x, y, z, i,$ and j have values from about 2 to about 400, preferably from about 5 to about 200, more preferably from about 5 to about 80, and wherein for each R^1, R^2 pair, one is hydrogen and the other is a methyl group. Formulas (V) through (VII) are oversimplified in that, in practice, the orientation of the isopropylene radicals within the B block will be random. This random orientation is indicated in formula (VIII), which is more complete. Such poly(oxyethylene)poly(oxypropylene) compounds have been described by Santon, *Am. Perfumer Cosmet.*, 72(4): 54-58 (1958); Schmolka, *Loc. cit.* 82(7):25-30 (1967); *Non-ionic Surfactants*, Schick, ed. (Dekker, N.Y., 1967), pp. 300-371. A number of such compounds are commercially available under such generic trade names as "lipoloxamers", "pluronics" and "synperonics." Pluronic polymers within the B-A-B formula are often referred to as "reversed" pluronics, "pluronic R" or "meroxapol."

The "polyoxamine" polymer of formula (VIII) is available from BASF (Wyandotte, MI) under the tradename TETRONIC®. The order of the polyoxyethylene and polyoxypropylene blocks represented in formula (VIII) can be reversed, creating TETRONIC-R®, also available from BASF. See, Schmolka, *J. Am. Oil. Soc.*, 59: 110 (1979). Polyoxypropylene-polyoxyethylene block copolymers can also be designed with hydrophilic blocks comprising a random mix of ethylene oxide and propylene oxide repeating units. To maintain the hydrophilic character of the block, ethylene oxide will predominate. Similarly, the

hydrophobic block can be a mixture of ethylene oxide and propylene oxide repeating units. Such block copolymers are available from BASF under the trade name PLURADOT®.

Also included is a POE-POP (poly(oxyethylene)-poly(oxypropylene) block copolymer having the formula:



(VII)

in which x , y , and z have values from about 2 to about 400.

The hydrophobic/hydrophilic properties of a given block copolymer depends upon the ratio of the number of oxypropylene groups to the number of oxyethylene groups. For a composition containing a single block copolymer of poly(oxyethylene)-poly(oxypropylene), for example, this relationship, taking into account the molecular masses of the central hydrophobic block and the terminal hydrophilic blocks, can be expressed as follows:

$$n = \frac{H}{L} \cdot 1.32$$

in which H is the number of oxypropylene units and L is the number of oxyethylene units. In the general case of a block copolymer containing hydrophobic B-type segments and hydrophilic A-type segments, the hydrophobic-hydrophilic properties and micelle-forming properties are related to the value n as defined as:

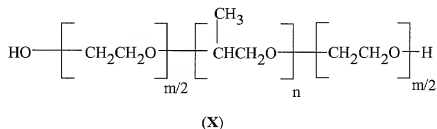
$$n = ([B]/[A]) \times (b/a)$$

where $[B]$ and $[A]$ are the number of repeating units in the hydrophobic and hydrophilic blocks of the copolymer, respectively, and b and a are the molecular weights for the respective repeating units.

Selecting a block copolymer with the appropriate n value depends upon the hydrophobic/hydrophilic properties of the specific agent, or the composite hydrophilic/hydrophilic properties of a mixture of agents to be formulated. Typically, n will

range in value from about 0.2 to about 9.0, more preferably between about 0.25 and about 1.5. This range should be viewed not as numerically critical but as expressing the optimum hydrophobic/hydrophilic balance between the predominantly hydrophilic poly(oxyethylene) blocks, and the predominantly hydrophobic poly(oxypropylene) blocks.

- 5 A number of pluronics are designed to meet the following formula:



The characteristics of a number of pluronics, described with reference to formula (X), are as follows:

10

Copolymer	Hydrophobe weight	CMC (% w/v)	Hydrophobe percentage
PLURONIC® L61	1750	0.0003	90
PLURONIC® L64	1750	0.002	60
PLURONIC® F68	1750	4-5	20
PLURONIC® P85	2250	0.005 - 0.007	50
PLURONIC® FI27	4000	0.003 - 0.005	30
PLURONIC® F108	3250	0.0035 - 0.007	20

These CMC values were determined by the surface tension method described in Kabanov *et al.*, *Macromolecules* 28: 2303-14 (1995).

- 15 Additional specific poly(oxyethylene)-poly(oxypropylene) block copolymers relevant to the invention include:

PLURONIC	Hydrophobe Weight	Hydrophobe Percentage
L31	950	90%
F35	950	50%
L42	1200	80%
L43	1200	70%
L44	1200	60%

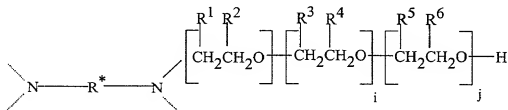
L61	1750	90%
L62	1750	80%
L63	1750	70%
L64	1750	60%
P65	1750	50%
F68	1750	20%
P75	2050	50%
L81	2250	90%
P84	2250	60%
P85	2250	50%
F87	2250	30%
F88	2250	20%
L92	2750	80%
F98	2750	20%
L101	3250	90%
P103	3250	70%
P104	3250	60%
P105	3250	50%
F108	3250	20%
L121	4000	90%
L122	4000	80%
L123	4000	70%
F127	4000	30%
10R5	1000	50%
10R8	1000	20%
12R3	1200	70%
17R2	1700	80%
17R1	1700	90%
17R2	1700	80%
17R4	1700	60%
17R8	1700	20%
22R4	2200	60%
25R1	2500	90%
25R2	2500	80%
25R4	2500	60%
25R5	2500	50%
25R8	2500	50%
31R1	3100	90%
31R2	3100	80%
31R4	3100	60%

*All copolymers above this conform to formula (X), this copolymer and those below conform to formula (VII).

These hydrophobe percentage and hydrophobe weight increases also correlate with improved micelle formation properties wherein micelle formation for these copolymers

occurs at lower concentrations. See, Hunter *et al.*, *Macromolecules* 26: 5030 (1993); Hunter *et al.*, *Macromolecules* 26: 5592 (1993); Alexandris *et al.*, *Macromolecules* 27: 2414 (1994).

- The diamine-linked pluronic of formula (VIII) can also be a member of the family of
 5 diamine-linked polyoxyethylene-polyoxypropylene polymers of formula:



(XI)

- wherein the dashed lines represent symmetrical copies of the polyether extending off the
 second nitrogen, R* an alkylene of about 2 to about 6 carbons, a cycloalkylene of about 5 to
 10 about 8 carbons or phenylene, for R¹ and R², either (a) both are hydrogen or (b) one is
 hydrogen and the other is methyl, for R³ and R⁴ either (a) both are hydrogen or (b) one is
 hydrogen and the other is methyl, if both of R³ and R⁴ are hydrogen, then one R⁵ and R⁶ is
 hydrogen and the other is methyl, and if one of R³ and R⁴ is methyl, then both of R⁵ and R⁶
 15 are hydrogen. The -NH₂-CH₂CH₂-NH₂- group of formula (VIII) and the N-R*-N group of
 formula (X) are examples of linking groups, L, of formula (IV).

The Hansch-Leo estimate of the octanol-water partitioning coefficient (P) for an organic
 molecule is calculated by the following formula:

$$\text{Log } P = a_n f_n + \sum b_m F_m$$

- where the f_n values are the fragmental constants for the different groups in the molecule,
 20 the a_n values are the number of any type of group in the molecule, the F_m values are factors
 for certain molecular features such as single bonds or double bonds, and the b_m values are
 the number of any such molecular feature. For instance, the Hansch-Leo fragmental constant
 for an ethylene oxide repeating unit (-CH₂CHO-) would be:

$$2f_c + 4f_H + f_O + (4-1)F_b = 2(0.20) + 4(0.23) + (-1.82) + 3(-0.12) = -0.66$$

The Hansch-Leo fragmental constant for a propylene oxide ($-\text{CH}_2\text{CH}(\text{CH}_3)\text{O}-$) repeating unit would be:

$$2f_c + f_{\text{CH}_3} + 3f_{\text{H}} + f_o + (4-1)f_b = 2(0.2) + 0.89 + 3(0.23) + (-1.82) + 3(-0.12) = -0.2$$

Those of ordinary skill in the art will recognize that the Hansch-Leo approach to estimating partition constants, in which approach the Hansch-Leo fragmental constants are applied, does not yield precisely the empirical partition constant. See Hansch and Leo, *Substituent Constants for Correlation Analysis in Chemistry and Biology*, Wiley, New York, 1979; James, *Solubility and Related Properties*, Marcel Dekker, New York, 1986, pp. 320-325. However, the approach is precise enough to define the hydrophobicity features of the polymeric delivery vehicle.

The other surfactants that can replace PEG in TPGS can also have the hydrophylic part be attached with fatty acids and derivative thereof, fatty acids and derivatives thereof, fatty acid soaps including salts of saturated and unsaturated fatty acids and derivatives (e.g., adrenic acid, arachidonic acid, 2-octenoic acid, octanoic acid, nonanoic acid, decanoic acid, undecanoic acid, undecelenic acid, lauric acid, myristoleic acid, myristic acid, palmitic acid, palmitoleic acid, heptadecanoic acid, stearic acid, nonanadecanoic acid, henelcosanoic acid, docasanoic acid, tricosanoic acid, tetracosanoic acid, *cis*-15-tetracosenoic acid, hexacosanoic acid, heptacosanoic acid, octecosanoic acid, triocantanoic acid), salts of hydroxy-, hydroperoxy-, polyhydroxy-, epoxy-fatty acids (see for example, Ingram and Brash, *Lipids*, 1988, 23: 340; Honn *et al.*, *Prostaglandins*, 1992, 44: 413; Yamamoto, *Free Radic. Biol. Med.*, 1991, 10: 149; Fitzpatrick and Murphy, *Pharmacol. Rev.*, 1989, 40: 229; Muller *et al.*, *Prostaglandins*, 1989, 38: 635; Falgoutret *et al.*, *FEBS Lett.*, 1990, 262: 197; Cayman Chemical Co., 1994 Catalog, pp. 78-108).

Also included are residues of carboxylic acids for making derivatives of TPGS (e.g., valeric acid, trans-2,4-pentadienoic acid, hexanoic acid, trans-2-hexenoic acid, trans-3-hexenoic acid, 2,6-heptadienoic acid, 6-heptenoic acid, heptanoic acid, pimelic acid, suberic acid, sebacic acid, azelaic acid, undecanedioic acid, decanedicarboxylic acid, undecanedicarboxylic acid, dodecanedicarboxylic acid, hexadecanedioic acid, docasenedioic acid, tetracosanedioic acid, prostanoic acid and its derivatives (e.g., Prostaglandins) (see, for example, Nelson *et al.*, *C&EN* 1982, 30-44; Frolich, *Prostaglandins*, 1984, 27: 349; Cayman Chemical Co., 1994 Catalog, pp. 26-61), leukotrienes and lipoxines (see for example,

Samuelsson *et al.*, *Science*, 1987, 237: 1171; Cayman Chemical Co., 1994 Catalog, pp. 64-76), alkyl phosphates, O-phosphates (*e.g.*, benfotiamine), alkyl phosphonates, natural and synthetic lipids (*e.g.*, dimethylallyl pyrophosphate-ammonium salt, Sfernesylthioacetic acid, farnesyl pyrophosphate, 2-hydroxymyristic acid, 2-fluoropalmitic acid,

- 5 inositoltriphosphates, geranyl pyrophosphate, geranygeranyl pyrophosphate, α -hydroxyfarnesyl phosphonic acid, isopentyl pyrophosphate, phosphatidylserines, cardiolipines, phosphatidic acid and derivatives, lysophosphatidic acids, sphingolipids and the like), synthetic analogs of lipids such as sodium-dialkyl sulfosuccinate (*e.g.*, Aerosol OT[®]), n-alkyl ethoxylated sulfates, n-alkyl monothiocarbonates, alkyl- and arylsulfates (asaprol, azosulfamide, *p*-(benzyl-sulfonamideo)benzoic acid, cefonicid, CHAPS), mono- and dialkyl dithiophosphates, N-alkanoyl-N-methylglucamine, perfluoroalcanoate, cholate and desoxychoate salts of bile acids, 4-chloroindoleacetic acid, cucurbitic acid, jasmonic acid, 7-epi jasmonic acid, 12-oxo-phytodienoic acid, traumatin, tuberonic acid, abscisic acid, acitertin, and the like. The carboxylic acid derivatives are useful for attachment of targeting
- 10 moieties to the TPGS derivatives.
- 15

The hydrophobic part can also be attached by long alkyl chain amines including primary, secondary and tertiary amines (*e.g.*, hexylamine, heptylamine, octylamine, decylamine, undecylamine, dodecylamine, pentadecyl amine, hexadecyl amine, oleylamine, stearylamine, diaminopropane, diaminobutane, diaminopentane, diaminohexane, diaminoheptane,

20 diaminooctane, diaminononane, diaminodecane, diaminododecanery amines, N,N-distearylamine, N,N',N'-polyoxyethylene(10)-N-tallow-1,3-diaminopropane).

- In a specific embodiment of the present invention, the surfactants are 'TPGS-peptide' derivatives made by using a linker, for example, but not limited to, 3(2-pyridyl dithio) propionic acid N-hydroxysuccinimide ester, and by attaching a variety of peptides on this
- 25 linker. Any peptide or protein that is hydrophilic or amphiphilic can be used to make the TPGS-peptide derivatives so that the TPGS-peptide derivative forms micelles.

MICELLE FORMATION

- 30 In the present invention the compositions form micelles. The importance of the micellar form in delivering biological agents is also revealed in *in vivo* experiments. In micellar form,

biological agents are located in the hydrophobic core of the micelles, thereby masked by the hydrophilic shell (composed of polyethylene glycol residues) surrounding the micelles. This masking decreases interactions with liver, plasma proteins, other non-target tissues and other molecules that can bind or inactivate the agent or convert the agent to a toxic metabolite.).

- 5 Using doxorubicin as a model drug, the micellar form decreases liver uptake, decreases conversion to doxorubicinol, and decreases the rate at which the concentration of doxorubicin decreases in the blood .

- The effectiveness of surfactant molecules in (a) forming micelles (where greater effectiveness is measured in reduced CMC) and (b) favoring the partitioning of various biological agents to the micellar rather than the free form of various biological agents increases according to the same pattern. The presence of micelles at low concentrations is believed to help assure, assuming that biological agent remains associated with the micelles, that the biological agent and the copolymer arrive together at a target tissue. Partitioning coefficients that favor the micellar form help assure that the assumption that the biological agent remains associated with micelles will hold true. The micellar form of the biological agent is also believed to protect the biological agent from uptake by non-target tissues, which tissues may metabolize the biological agent into an ineffective or toxic metabolite, and non-specific adsorption to blood components, cellular components and the like.

- The small size of the micelles formed by surfactant molecules of the invention is believed to allow these micelles to penetrate in small capillaries and to be taken up by cells.

- According to the present invention the surfactants form colloidal dispersion (including suspension, emulsion, microemulsion, micelles, polymer complexes, or other types of molecular aggregates). The size of the species formed by the surfactants is one major parameter determining usefulness of the compositions of the current invention. After administration in the body large particles are eliminated by the reticuloendothelial system and cannot be easily transported to the disease site (see, for example, Kabanov *et al.*, *J. Contr. Release*, 22, 141 (1992); Volkheimer. *Pathologie* 14:247 (1993); Kwon and Kataoka, *Adv. Drug. Del. Rev.* 16:295 (1995). Also, the transport of large particles in the cell and intracellular delivery is limited or insignificant. See, *e.g.*, Labhasetwar *et al.* *Adv. Drug Del. Res.* 24:63 (1997). It was demonstrated that aggregated cationic species with a size from 300 nm to over 1 μ m are ineffective in cell transfection, see Kabanov *et al.*, *Self-Assembling Complexes for Gene Delivery, from Laboratory to Clinical Trial*, Kabanov *et al.* (eds.), John

Wiley, Chichester (1998) and references cited. Large particles, particularly, those positively charged exhibit high toxicity in the body, in part due to adverse effects on liver and embolism. See e.g., Volkheimer, *Pathologie* 14:247 (1993); Khopade et al *Pharmazie* 51:558 (1996); Yamashita et al., *Vet. Hum. Toxicol.*, 39:71 (1997). Small surfactant species are nontoxic, can enter into small capillaries in the body, transport in the body to a disease site, cross biological barriers (including but not limited to the blood-brain barrier and intestinal epithelium), absorb into cell endocytic vesicles, cross cell membranes and transport to the target site inside the cell. The particles in that size range are believed to be more efficiently transferred across the arterial wall compared to larger size microparticles, see Labhasetwar et al., *Adv. Drug Del. Res.* 24:63 (1997). Without wishing to be bound by any particular theory it is also believed that because of high surface to volume ratio, the small size is essential for successful targeting of such particles using targeting molecules. The preferred range of the species formed in the compositions of the current invention is less than about 300 nm, more preferred less than about 100 nm, still more preferred less than about 50nm.

Typically the micelles will have an average diameter of from about 3 to about 25nm, although this range can vary widely. The average diameter of any given preparation can be readily determined by quasi-elastic light scattering techniques.

The compositions of the invention are intended to include either preformed micelles with a substantial portion of the biological agent incorporated therein, or copolymer compositions which form micelles with a substantial portion of the agent dissolved therein during the course of the administration of the biological agent to a patient, or subsequent thereto. For the targeting embodiment of the invention, the targeting moiety will either be pre-associated with micelles or will associate with micelles during the course of administration.

TARGETING MOLECULES

In another embodiment, the invention provides compositions comprising (a) a polophyllotoxin, an analog thereof or a derivative thereof; (b) a surfactant; and (c) a targeting molecule, wherein the composition forms a micelle. It is often desirable to target a biological agent to a particular tissue in which the agent is anticipated to beneficially act. This desirability is particularly true for chemotherapeutic agents that potentially have highly toxic

effects on non-target tissues. Most anti-cancer chemotherapeutic agents function by selectively poisoning replicating cells. This mechanism inevitably targets the rapidly replicating cells, such as those of the bone marrow which generate a number of important blood cells. If the biodistribution of the chemotherapeutic drug is changed so that useful concentrations are maintained in the cancerous tissue or the tissue in which the cancer resides while concentrations distal from the cancer *situs* are reduced, the scope of toxic side effects will generally be reduced.

The targeting molecules comprise a targeting moiety which is coupled to a lipophilic moiety comprising a hydrocarbon having from about 3 to about 41 carbon atoms. This targeting moiety is incorporated into the micelles of the compositions of the targeting embodiment. This portion of the targeting molecule typically comprises no more than about 10% w/w of the copolymer components of a composition. The lipophilic moieties are believed to act as hydrophobic "anchors", which are incorporated non-covalently into the block-copolymer micelles so that the targeting moiety becomes part of, but extends beyond, the micelle.

The targeting moieties have affinity for a cellular, tissue, viral or substratum site. Typical targeting moieties include, but are not limited to, antibodies and hormones with affinity for a cellular binding component, any molecule containing a carbohydrate moiety recognized by a cellular binding component, and drugs that bind to a cellular binding component. The phrase "binding component" includes both receptor and acceptor molecules. Preferably, the binding component is a cell-surface binding component. Both polyclonal and monoclonal antibodies which are either available commercially or described in the literature can be employed. Alternatively the targeting moiety is a naturally occurring protein, such as insulin, that binds to a target site. A non-limiting example of a targeting moiety is the anti- α_2 -GP antibody to brain glial cells (α_2 -glycoprotein) which is described by Slepnev *et al.*, *Bioconjugate Chem.*, 3: 273-274 (1992).

As mentioned above, the targeting moieties can have affinity for a cellular, tissue, viral or substratum site. The targeting moiety can be a peptide including antibodies for example, but not limited to an anti- α_2 -P antibody specific for the α_2 -glycoprotein of glial cell, an anti-GFAP antibody, a monoclonal antibody against the neuronal-specific enolase. Also included are a variety of human and animal cytokines including interferons as human natural and

recombinant interferon- α_2 , interleukins such as human recombinant Interleukin-2 (IL-2), tumor necrosis factors (TNFs) such as human recombinant TNF- α and a number of other proteins and peptides which are factors controlling the immune system. Targeting moieties can also be hormones such as insulin.

- 5 In another specific embodiment of the present invention, the targeting moiety is a synthetic peptide that binds to a cell which is identified from a peptide library. Screening methods and peptide libraries are known to those skilled in the art of peptide chemistry, and synthetic peptides with binding affinities are easily identified using commonly known methods of screening and binding assays.

10

METHODS OF USE

The present invention also provides for methods of use including methods of treatment of animals and methods of inhibiting cancer by administering compositions of the present invention. The invention also provides methods of delivering the described podophyllotoxin compositions to a cell.

15

The present invention provides for methods of treating an animal comprising administering a composition comprising a podophyllotoxin, an analog thereof or a derivative thereof, and a surfactant. In another embodiment, the administered composition further comprises a targeting molecule. In a more specific embodiment, the surfactant of the administered compositions is TPGS or a derivative thereof.

20

The compositions of the present invention can be used for treatment of animals, including, but not limited to animals such as chickens, pigs, cows, cats, dogs, horses, fish, shrimp, and preferably to mammals, and most preferably humans.

The present compositions can be used in a variety of treatments. The compositions and methods of treatment of the present invention can be used to treat a variety of diseases or conditions such as, but not limited to diseases of the liver and kidneys and for scrofula, syphilis, gonorrhea, coughs, genital warts, cancers, herpes simplex type-1 and other viral agents. The compositions can also be used for insecticides, herbicides and as ichthyotoxins.

25

The present invention also provides methods of inhibiting cancer comprising administering to an animal having cancer a composition comprising: a podophyllotoxin, an analog thereof or a derivative thereof, and a surfactant; wherein the composition forms a

30

micelle. In a specific embodiment, the method comprises administering a composition that further comprises a targeting molecule. In another specific embodiment, the surfactant of the administered composition is a TPGS or derivative thereof.

- 5 The method of inhibiting cancer can be applied to cancers such as, but not limited to, oat carcinoma of the lung, ovarian cancer, mall cell bronchus carcinoma, testicular carcinoma, choriocarcinoma and neuroblastoma, myelocytic and monocytic malignancies in children, lymphocyte leukemia, myelogenous leukemia, Hodgkin's diseases, histocytic lymphoma, Wilm's tumor, Ewing's sarcoma, sarcoccygeal sarcoma, and acute leukemia. The compositions can be used to treat any cancers for which podophyllotoxins, analogs thereof or
10 derivatives thereof are currently known or are eventually shown to have activity against.

METHODS OF ADMINISTRATION

- 15 The compositions of the present invention can be administered by a number of routes, including without limitation, orally, topically, rectally, vaginally, by pulmonary route for instance by use of an aerosol, or parenterally, including but not limited to intramuscularly, intradermally, subcutaneously, intraperitoneally, intra-arterially or intravenously. The compositions can be administered alone, or can be combined with a pharmaceutically acceptable carrier or excipient according to standard pharmaceutical practice.

- 20 For oral administration, the compositions can be used in the form of tablets capsules, lozenges, troches, powders, syrups, elixirs, aqueous solutions and suspensions, and the like. In the case of tablets, carriers that can be used include lactose, sodium citrate and salts of phosphoric acid. Various disintegrants such as starch, and lubricating agents such as magnesium stearate, sodium lauryl sulfate and talc, are commonly used in tablets. For oral administration in capsule form, useful diluents are lactose and high molecular weight
25 polyethylene glycols. When aqueous suspensions are required for oral use, the compositions can be combined with emulsifying and suspending agents. If desired, certain sweetening and/or flavoring agents can be added. For parenteral administration, sterile solutions of the conjugate are usually prepared, and the pHs of the solutions are suitably adjusted and buffered.

For intravenous use, the total concentration of solutes should be controlled to render the preparation isotonic. For ocular administration, ointments or droppable liquids may be delivered by ocular delivery systems known to the art such as applicators or eyedroppers. Such compositions can include mucomimetics such as hyaluronic acid, chondroitin sulfate, hydroxypropyl methylcellulose or poly(vinyl alcohol), preservatives such as sorbic acid, EDTA or benzylchonium chloride, and the usual quantities of diluents and/or carriers.

For pulmonary administration, diluents and/or carriers will be selected to be appropriate to allow the formation of an aerosol.

Suppository forms of the compositions of the invention are useful for vaginal, urethral and rectal administrations. Such suppositories will generally be constructed of a mixture of substances that is solid at room temperature but melts at body temperature. The substances commonly used to create such vehicles include theobroma oil, glycerinated gelatin, hydrogenated vegetable oils, mixtures of polyethylene glycol of various molecular weights and fatty acid esters of polyethylene glycol. See *Remington's Pharmaceutical Sciences, 16th Ed.*, Mack Publishing, Easton, PA, 1980, pp. 1530-1533 for further discussion of suppository dosage forms. Analogous gels or creams can be used for vaginal, urethral and rectal administrations.

In the present invention the formulation of the podophyllotoxin derivative is a less complex mixture since it comprises a chemotherapeutic agent and its solubilizing compound which are in a micellar form in an aqueous isotonic medium. Avoiding the use of organic solvents may prevent inducing hypersensitivity reactions observed previously. In addition, for lymphomas and small-cell lung cancer, which have a high frequency of metastases to the brain or meninges, an intrathecal therapy may be indicated. The absence of organic solvents in the present formulation allows the use of this route of administration to be considered. Additionally, the preferred solubilizing component used in this formulation has been recognized as very safe and not responsible for toxic side effects (See Eastman Chemical Company for *Vitamin E TPGS Drug Master Files and General Recognition of Safety (GRAS) Data Sheets*).

The dosage for a podophyllotoxin in a micellar composition will often be about that of the podophyllotoxin alone. Dosages will be determined by the prescribing medical professional considering many factors including the patients age, weight and condition and

the pharmacokinetics of the agent. Dosages are routinely determined by those of skill in the art. However, the amount of a micellar form of an agent required for effective treatment may often be less than the amount required using the free biological agent. For instance, a typical intravenous dose of etoposide will be 450-900 mg in an adult patient weighting 70 kg over a period of 3-5 days.

The podophyllotoxins used in the invention are administered to an animal in an effective amount. The effect of the surfactant or TGPS or derivative thereof used in the composition on the drugs effectiveness must be considered in determining the effective amount. Generally, an effective amount is an amount effective to either: (1) reduce the symptoms of the disease sought to be treated or (2) induce a pharmacological change relevant to treating the disease sought to be treated. For cancer, an effective amount includes an amount effective to reduce the size of a tumor; slow the growth of a tumor; prevent or inhibit metastases; or increase the life expectancy of the affected animal.

METHODS OF DELIVERY TO CELLS

An embodiment of the present is a method of delivering podophyllotoxin, an analog or derivative thereof comprising administering to a cell a composition comprising a podophyllotoxin, an analog or derivative thereof, and a surfactant.

In a more specific embodiment, the surfactant used in the composition administered to the cell is TPGS or a derivative thereof.

In a specific embodiment, the method of delivering a podophyllotoxin to a cell comprises administering a composition comprising a podophyllotoxin, an analog thereof or a derivative thereof, a surfactant and a targeting molecule.

Target cells for the delivery of a podophyllotoxin composition are, but not limited to, procaryotic or eucaryotic cells, preferably animal cells, more preferably mammalian cells, and most preferably human cells. Cell targets can be *ex vivo* and/or *in vivo*, and include T and B lymphocytes, primary CML, tumor infiltrating lymphocytes, tumor cells, leukemic cells (such as HL-60, ML-3, KG-1 and the like), skin fibroblasts, myoblasts, cells of central nervous system including primary neurons, liver cells, carcinoma (such as Bladder carcinoma

T24, human colorectal carcinoma Caco-2), melanoma, CD34+ lymphocytes, NK cells, macrophages, hemotopoetic cells, neuroblastoma (such as LAN-5 and the like), gliomas, lymphomas (such as Burkitt lymphomas ST486), JD38), T-cell hybridomas, muscle cells such as primary smooth muscle, and the like.

- 5 The following examples are presented for purposes of illustration only and are not intended to limit the scope of the invention in any way.

EXAMPLE 1: Preparation of Etoposide Formulation (Formulation A)

- 10 Ten (10) mg of Etoposide dissolved in 1mL of methanol were added to 200mg TPGS dissolved in 1mL of methanol. The methanol was evaporated to dryness using a speed-vac concentrator. The residue was mixed with 5 ml of phosphate buffer saline (PBS) pH 5.5, and the mixture was shaken gently for complete dispersion at room temperature for 30 min. The final formulation, containing 2 mg/ml of Etoposide and 40 mg/ml *i.e.* 4% of TPGS, was sterilized for intravenous administration by passing it through a 0.2 μ m filter. The final
15 solution consists of a clear and transparent liquid slightly yellowish in color. The formulation did not show any precipitation of the drug when stored at room temperature for at least 36 hours.

EXAMPLE 2: Solubility of Etoposide in Formulation A

- 20 A stock solution of Etoposide (10 mg/ml methanol) and a stock solution of TPGS (100 mg/ml methanol) were prepared. To 100 μ l of Etoposide aliquots was added an aliquot of TPGS solution to obtain the range of final concentration: 0, 1, 2, 3, 4, 5, 6, 7, 8, 9 and 10% TPGS. At each concentration the samples were prepared in duplicate. The methanol was then evaporated as described in Example 1. The residue was added to 100 μ l of PBS and the
25 mixture was shaken for complete dispersion at room temperature. An aliquot (50 μ l) of each sample was extracted with 450 μ l of acetonitrile. The organic phase was then released from the extraction solution by adding about 20 mg of NaCl. After centrifugation at 10,000 rpm for 10 min, the organic phase containing Etoposide was separated and evaporated to dryness under a stream of nitrogen at 60⁰ C. The dry extracts obtained were dissolved in 1 ml of

“mobile phase” solution of 25% buffer A (1% acetic acid in acetonitrile)/75% buffer B (1% acetic acid in water). Finally the samples were analyzed for Etoposide concentration using reverse phase HPLC with fluorescence detection. Separation was achieved using a C₁₈ column (90 Å; 250 x 4.6 mm) at 32°C and a “mobile phase” consisting of a solution of 25% buffer A (1% acetic acid in acetonitrile) / 75% buffer B (1% acetic acid in water) at a flow rate of 1.0 ml/min. The excitation and emission wavelengths were set at 230 and 323 nm, respectively. The amount of Etoposide in each sample was calculated from the area under the peak (AUP) using a calibration curve for Etoposide.

The data for maximal solubility of Etoposide at room temperature are set forth in Table 1 (each value was expressed as a mean \pm standard deviation). The results demonstrate that etoposide is increasingly soluble in solutions of increasing concentrations of TPGS.

Table 1. Etoposide solubility in TPGS solutions.

TPGS %	1%	2%	3%	4%	5%	6%	7%	8%	9%	10%
Etoposide solubility (mg/ml)	1.3 \pm 0.15	1.6 \pm 0.2	1.75 \pm 0.3	2.0 \pm 0.15	2.1 \pm 0.6	3.0 \pm 0.9	4.7 \pm 0.35	6.0 \pm 0.4	7.7 \pm 0.4	8.7 \pm 0.9

EXAMPLE 3: Thermodynamic Stability of Etoposide in Frmulation A

Loss of thermodynamic stability of Etoposide was evaluated at room temperature by the appearance of a fine white precipitate in the formulation. The samples were prepared according to Example 1. Visual inspection was performed after centrifugation of the sample over different periods of time. The results obtained are shown below in Table 2 (Etoposide stability is indicated by grey shading). The results demonstrate that etoposide is stable for longer periods of time in solutions of increasing concentration of TPGS.

Table 2. Etoposide stability after storage at room temperature

Storage time (hours)	1	3	6	24	36	48	72	96
1% TPGS								
Etoposide (mg/mL)	0.5							
	1.0							
	1.5							
	2.0							
2% TPGS								
Etoposide (mg/mL)	0.5							
	1.0							
	1.5							
	2.0							
3% TPGS								
Etoposide (mg/mL)	0.5							
	1.0							
	1.5							
	2.0							
4% TPGS								
Etoposide (mg/mL)	0.5							
	1.0							
	1.5							
	2.0							

EXAMPLE 4: Preparation of an Etoposide Formulation (Formulation B)

One (1) mg of Etoposide was dissolved in 100 μ l of acetonitrile. Then 100 μ l of 10% solution of a PLURONIC® P85 in acetonitrile and 20 μ l of a 3 M sodium acetate in water were added to the Etoposide solution. The mixture was evaporated to dryness using a speed-vac concentrator. One (1) ml of phosphate buffer saline (PBS) was added to the residue and the mixture was dissolved using a rotator. PLURONIC® P85 was not suitable to solubilize

etoposide successfully. Formulation B was unstable since a drug precipitate within 30 min at room temperature.

EXAMPLE 5: Preparation of an Etoposide Formulation (Formulation C)

- 5 One (1) mg of Etoposide was dissolved in 100 μ l of acetonitrile. Then 100 μ l of 10% solution of PLURONIC® P85 in acetonitrile, 200 μ l of a 10% solution of Pluronic F127 in acetonitrile, 50 μ l of a 5% solution of PLURONIC® L61 and 10 μ l of a 3 M sodium acetate in water were added to the Etoposide solution. The mixture was evaporated to dryness using a speed-vac concentrator. One (1) ml of phosphate buffer saline (PBS) was added to the
10 residue, and the mixture was dissolved using a rotator. Formulation C was unstable since a drug precipitate formed rapidly at room temperature.

EXAMPLE 6: Preparation of an Etoposide Formulation (Formulation D)

- Four (4) mg of Etoposide was dissolved in 200 μ l of acetonitrile. Then 100 μ l of 10%
15 solution of PLURONIC® P85 in acetonitrile, 20 μ l of 15 mM sodium salt of nonafluoropentanoic acid (NFPNa) solution in ethanol and 5 μ l of 3M sodium acetate in water were added to the Etoposide solution. The mixture was evaporated to dryness using a speed-vac concentrator. One (1) ml of 2% solution of PLURONIC® F127 in PBS was added to the residue and the mixture was dissolved using a rotator. The use of PLURONIC® P85
20 and P127 was not satisfactory since the final solution formed a drug precipitation in less of 30 minutes at room temperature.

EXAMPLE 7: Preparation of an Etoposide Formulation (Formulation E)

- Three (3) mg of Etoposide was dissolved in 100 μ l of acetonitrile. Then 100 μ l of a
25 10% solution of PLURONIC® P85 in acetonitrile, 20 μ l of 15 mM sodium salt of nonafluoropentanoic acid (NFPNa) solution in ethanol, and 5 μ l of 3 M sodium acetate in water were added to the Etoposide solution. The mixture was evaporated to dryness using a speed-vac concentrator. One (1) ml of PBS was added to the residue and the mixture was

dissolved using a rotator. Formulation E was very unstable since a drug precipitate appeared in less than 30 minutes.

EXAMPLE 8: Preparation of an Etoposide Formulation (Formulation F)

- 5 Three (3) mg of Etoposide was dissolved in 150 μ l of a methanol/acetonitrile (2:1) mixture. Twenty (20) μ l of 15 mM sodium salt of nonafluoropentanoic acid (NFPNa) solution in methanol and 5 μ l of 3M sodium acetate in water were added to the Etoposide solution. The mixture was evaporated to dryness using a speed-vac concentrator. The residue was dissolved in 1 ml of 2% solution of PLURONIC® F127 in PBS using a rotator.
- 10 Formulation F was unstable since a drug precipitate formed gradually within 1 hour at room temperature.

EXAMPLE 9: Preparation of an Etoposide Formulation (Formulation G)

- 15 Two (2) mg of Etoposide was dissolved in 150 μ l of a methanol/acetonitrile (2:1) mixture. Two hundred (200) μ l of 10% solution of PLURONIC® P104 in methanol was added to the Etoposide solution. The mixture was evaporated to dryness using a speed-vac concentrator. The residue was dissolved in 1 ml of PBS using a rotator. Formulation G was unstable since a drug precipitation occurred gradually within 1 hour at room temperature.

- 20 EXAMPLE 10: Preparation of an Etoposide Formulation (Formulation H)

- Two (2) mg of Etoposide was dissolved in 150 μ l of a methanol/acetonitrile (2:1) mixture. Then 200 μ l of a 10% solution of PLURONIC® P104 in methanol, and 100 μ l of 10% solution of PLURONIC® F108 in methanol were added to the Etoposide solution. The mixture was evaporated to dryness using a speed-vac concentrator. The residue was dissolved in 1 ml PBS using a rotator. Formulation H was unstable since a white drug precipitate formed almost immediately.
- 25

EXAMPLE 11: Preparation of an Etoposide Formulation (Formulation I)

Two (2) mg of Etoposide was dissolved in 150 μ l of a methanol/acetonitrile (2:1) mixture. Two hundred (200) μ l of a 10% solution of PLURONIC® P104 in methanol, and 100 μ l of 10% solution of PLURONIC® F68 in methanol were added to the Etoposide solution. The mixture was evaporated to dryness using a speed-vac concentrator. The residue was dissolved in 1 ml of PBS using a rotator. Formulation I was unstable since it turned cloudy immediately after preparation.

EXAMPLE 12: Preparation of an Etoposide Formulation (Formulation J)

Two (2) mg of Etoposide was dissolved in 150 μ l of a methanol/acetonitrile (2:1) mixture. Two hundred (200) μ l of a 10% solution of PLURONIC® P104 in methanol and 100 μ l of 10% solution of PLURONIC® F87 in methanol were added to the Etoposide solution. The mixture was evaporated to dryness using a speed-vac concentrator. The residue was dissolved in 1 ml of PBS using a rotator. The combination of PLURONIC® P104 and P87 did not lead to a stable formulation since a net drug precipitate could be visualized within 30 minutes at room temperature.

EXAMPLE 13: Anticancer Activity of Etoposide Formulation A Against Lewis Lung Carcinoma 3LL-M27

C57BL/6 mice (female six/seven-week-old) were intravenously administered either the clinical form of Etoposide (VEPESID®, Bristol-Myers Squibb; each ml containing 20 mg etoposide; 20 mg polysorbate 80; 650 mg polyethylene glycol 300; 30 mg benzyl alcohol; 2 mg citric acid and 1 ml absolute alcohol *qs*) or with the Etoposide of Formulation A.

The animals were injected with 3LL-M27 cells inoculation (5×10^5 cells per animal) and were randomly divided into the groups as described below. The Etoposide concentration in Formulation A was 2mg/ml and the injection volume corresponded with the expected doses. VEPESID® was diluted with PBS at concentrations from 20 mg/ml to 1.5 mg/ml. The dose

of Etoposide was 15 mg/kg for both formulations. The animals of the control group received PBS alone. Another control group received only the carrier of Formulation A.

Five to six animals in each group were sacrificed on day 13 for routine metastasis inspection (The time to sacrifice animals was decided by monitoring metastasis development in control group). Although all organs were routinely screened, metastasis formation was normally detected only in the lungs. The metastasis colonies on the organ surface were enumerated immediately following the removal of the tissues. The lungs were subsequently fixed in 10% phosphate-buffered formalin for pathological analysis. The animal was considered to have 50 metastasis sites if the number of tumor nodules on the organ surface was equal to or higher than 50 per mouse. The treatment schedule and results are set forth below in Tables 3 and 4.

Table 3. Comparison of the Effects of Etoposide Formulation A and VEPESID® on Lung Metastasis.

Treatment	Treatment Schedule (on day after cell inoculation)	Injection Volume (ml/kg)	Number of Animals	Lung Metastasis Numbers (sample number) on day 13
Control (PBS)	1, 3, 5, 7	10	26	> 50 (5)
Formulation A Carrier	1, 3, 5, 7	10	26	> 50 (5)
Vepesid (15 mg/kg)	1, 3, 5, 7	10	22	> 50 (5)
Formulation A (15 mg/kg)	1, 3, 5, 7	7.5	22*	9.8 ± 4.0 (6)**

* : Five animals were dead on day 10 to 13 because of treatment toxicity.

** : P < 0.01, Formulation A vs Control and VEPESID®.

Table 4. Survival of C57BL/6 Female Mice Implanted with 3LL-M27 Cells After Intravenous Administration of VEPESID® or Formulation A

Treatment	Animal Number for Survival Observation	Median Survival Time (day)
Control (PBS)	18	15.2 ± 0.93
Vepesid (15 mg/kg)	17	20.5 ± 0.69
Formulation A (15 mg/kg)	11	25.7 ± 1.3

- 5 The results demonstrate that Etoposide Formulation A showed greater efficacy against lung metastasis as compared to VEPESID®.

EXAMPLE 14: Anticancer Activity of Etoposide Formulation A Against Lewis Lung Carcinoma

- 10 C57BL/6 mice (female six/seven-week-old) were intravenously administered with either the clinical form of Etoposide, (VEPESID®) or with the Etoposide Formulation A using the dose of 15 mg/kg. The protocol is identical to that described in Example 13 except the injections were performed on day 1, 3, and 5 after cell implantation.

- 15 The treatment schedule and results are set forth below in Table 5. The results demonstrate that etoposide of Formulation A was more effective against the lung carcinoma than VEPESID.

Table 5 Etoposide Treatment Schedule and Number of Metastases.

Treatment	Treatment Schedule (on day after cell inoculation)	Injection Volume (ml/kg)	Number of Animals	Lung Metastasis Numbers (sample number) on day 13
Control (PBS)	1, 3, 5	10	26	> 50 (4)
VEPESID® (15 mg/kg)	1, 3, 5	10	22	40.6 ± 5.6 (6)*
Formulation A (15 mg/kg)	1, 3, 5	7.5	22	20.8 ± 8.0 (7)**

* : P<0.01, Vepesid vs Control.

** : P<0.01, Formulation A vs Control, Vepesid.

EXAMPLE 15: Evaluation of Lung Metastasis Development After Intravenous

Administration of Etoposide formulation A and VEPESID Against Lewis Lung Carcinoma

C57BL/6 mice (female six/seven week-old)-were intravenously administered with either the clinically used Etoposide (VEPESID®) or the Etoposide Formulation A according to the protocol of Example 14. Metastasis development was monitored on day 14 after 3LL-M27 cells were implanted into the mice.

The categories of response for treatment were evaluated as follows: 'complete' refers to metastasis number less than 5; 'partial' refers to animals bearing 5 to 39 metastasis; and 'progression' to animals whose metastasis number equals or exceeds 40. The categories of responses were expressed as a percentage of the animals bearing the metastasis number. The results are presented in Table 6 below. The results demonstrate that the Etoposide Formulation A of the present invention was more effective in reducing the number of metastases than the clinical VEPESID® formulation.

Table 6 Response of Metastasis to Etoposide Formulations.

Treatment	Treatment Schedule (on day after cell inoculation)	Response Categories (%)		
		Complete	Partial	Progression
Control (PBS)	1, 3, 5	0	0	100
Vepesid (15 mg/kg)	1, 3, 5	0	50	50
Formulation A (15 mg/kg)	1, 3, 5	43	43	14

EXAMPLE 16: Pharmacokinetics of Etoposide in Formulation A and VEPESID

After Intravenous Administration in Normal Mice

5

C57BL/6 mice (female six/seven-week-old) were intravenously administered with either the clinical form of Etoposide (VEPESID®) or the Etoposide Formulation A using a single dose of 30mg/kg. Three animals per time point were sacrificed at 5, 30, and 60min, and 3, 6, 10 and 24 hours after injection. Blood was collected and plasma separated. Plasma samples were immediately frozen at -80°C until analysed for Etoposide concentration.

10

The defrosted plasma samples were separated by centrifugation and aliquots (100 μl) of each sample were extracted with 900 μl of acetonitrile for 15 min on a shaker. Then about 50 mg NaCl were added to each aliquot and the samples were shaken for 5 min and centrifuged at 10,000 rpm for 15 min. The organic phase was transferred, evaporated under a stream of nitrogen at 60°C and reconstituted into 100 μl of mobile phase for analysis. Quantitation of Etoposide in sample aliquots was performed by using a reverse phase HPLC system with fluorescence detection as described previously in Example 2, except that the mobile phase consisted in 23% buffer A (1% acetic acid in acetonitrile) / 77% buffer B (1% acetic acid in water). The amount of Etoposide in each sample was calculated from the area under the peak using a calibration curve for mouse plasma. The area under the curve (AUC) for plasma etoposide was calculated by trapezoidal integration.

15

20

The pharmacokinetic parameters, area under the concentration vs time curve (AUC) and mean residence time (MRT), obtained for VEPESID and Etoposide formulation A in mouse plasma are set forth in Table 7. The results demonstrate that more etoposide was present in plasma of mice that received Formulation A than those who received VEPESID.

25

Table 7 Concentrations of Etoposide in Plasma Samples

Parameter	Dimension	VEPESID	Formulation A	Ratio A/ VEPESID
AUC	($\mu\text{g} \times \text{hr}$)/ml	30.54	85.40	2.80
MRT	min	18.6	45.6	2.45

WHAT IS CLAIMED IS:

1. A composition comprising:

- (a) a podophyllotoxin, an analog thereof or derivative thereof, and
- (b) a surfactant;

5 wherein the composition forms a micelle.

2. The composition of claim 1 wherein the podophyllotoxin derivative is etoposide or teniposide.

3. The composition of claim 1 wherein the podophyllotoxin derivative is selected from the group consisting of derivatives of podophyllotoxin modified in rings C and E including
10 epidodophyllotoxin, deoxypodophyllotoxin, β -peltatin, 4'-demethylpodophyllotoxin, 4'-demethylepidodophyllotoxin, 4'-demethyldeoxypodophyllotoxin, α -peltatin, VM-26 (teniposide), VP-16-213; derivatives modified in ring D including deoxypodophyllotoxin, podophyllotoxin-cyclic ether, deoxypodophyllotoxin-cyclic ether, deoxypodophyllotoxin-cyclopentane, deoxypodophyllotoxin-cyclopentanone, podophyllotoxin-cyclic sulfide,
15 deoxypodophyllotoxin-cyclic sulfide, podophyllotoxin-cyclic sulfone, deoxypodophyllotoxin-cyclic sulfone; derivatives picropodophyllotoxin, dehydropodophyllotoxin, glucoside derivatives of VP-16 and VM-26, 4'-demethylepidodophyllotoxin and glucoside derivatives, acetal and ketal derivatives of 4'-demethylepidodophyllotoxin- β -D-glucoside (DMEPG), epidodophyllotoxin- β -D-glucoside
20 (EPG); compounds with A-ring modifications: podophenazine, 2',3'-dichloropodophenazine, benzopodophenazine and their 4 β -p-nitroaniline derivatives; compounds with B-ring modifications: α -peltatin esters and ethers including its glycosidic ethylidene and thenilidene cyclic acetals; compounds with C-ring modifications: ring C aromatized analogues of podophyllotoxin, benzodioxole lactones which are analogues of podophyllotoxin, triazene
25 and aziridine derivatives of podophyllotoxin such as 4-oxa-2-podophyllotoxin and 4-thia-2-azapodophyllotoxin; compounds with D-ring modifications: picropodophyllotoxin which is the thermodynamically stable cis epimer of podophyllotoxin, and its other cis analogues and trans isomers, the cyclopentane and cyclopentanone derivatives, the hydroxy derivatives such as anhydropodophyllol and deoxyanhydropodophyllol, the series of 2-substituted

podophyllotoxine derivatives including 2-methyl, 2-chloro-2-hydroxy- and 2-bromopodophyllotoxin, and the derivative N'-podophyllacid-N- [3- (2,2,5,5-tetramethylpyrrolinenyloxy)]semi-carbazide (GP-11), etoposide lactam; the desoxy E-ring analogues of etoposide, and 3',4',5'-tridemethoxy (-) podophyllotoxin, the E-ring deoxygenated analogue of (-) podophyllotoxin; substituted podophyllotoxin aglycones are the C4 -C-podophyllotoxin aglycones *i.e.* 4 β -alkyl, 4 β -aminoalkyl and 4 β -aminoalkyl derivatives of 4'-O-demethyl-4-desoxypodophyllotoxin, 1- β -alkyl derivatives of podophyllotoxin, 4- β -aminoalkyl-4-O-demethyl-4-desoxypodophyllotoxin (TOP-53); C4-O-podophyllotoxin aglycones include 4'-demethylpodophyllotoxin-lexitropsin conjugates, ester derivatives of the C4 hydroxyl group of 4'-demethylpodophyllotoxin, thymidine derivatives of podophyllotoxin; C4-N- podophyllotoxin aglycones include 4-hydroxylated and halogenated anilino derivatives of epipodophyllotoxin with substitution on the β -anilino moiety with CN, COOCH₃, COOC₂H₅OH and COOCH₃, OCH₃, COCH₃, CH₂OH, OCH₂O, OCH₂CH₂O, phenoxy, morpholino, NO₂, either at the para and/or the meta position, 4'-demethylepipodophyllotoxin, 2'-chloro derivatives of etoposide and 4 β -(arylamino)-4'-O-demethylpodophyllotoxin, 6,7-O,O-demethylene-4'-O-demethyl 4 β -(substituted anilino)-4-deoxy podophyllotoxins, 6,7-O,O-demethylene-6,7-O,O-dimethyl-4'-O-demethyl-4 β -(substituted anilino)-4-desoxypodophyllotoxins and their 4'-O-methyl analogues. Are also included 4-azido, 4-amino, amido and 4-alkoxy derivatives of podophyllotoxin and 4'-demethylepipodophyllotoxin and also ortho-quinone analogues of podophyllotoxin possessing various C-4 β -anilino moieties, and also 4 β -arylamino derivatives of 4'-O-demethylpodophyllotoxin and also 4'-O-demethyl-4-desoxypodophyllotoxin and 4 β -benzoyl derivatives of 4'-O-demethylpodophyllotoxin; C4-S-podophyllotoxin aglycones are the 4'-O-demethylepipodophyllotoxin derivatives possessing various 4 β -N-, 4 β -O- or 4 β -S-aromatic rings; the podophyllotoxin derivatives with one of the hydroxyl groups in the glycosidic moiety substituted by an alkylamino group; the 2-azapodophyllotoxin analogues, the 4-desoxy-2-azapodophyllotoxins, the benzoquin-olizidine analogues of podophyllotoxin, and azatoxin, which is an analogue hybrid between VP-16 aglycon and ellipticin, and its derivatives.

4. The composition of claim 1 wherein the podophyllotoxin is etoposide.

5. The composition of claim 1 wherein the surfactant is tocoferol.

6. The composition of claim 5 wherein the tocoferol is covalently linked to a water-soluble polymer.
7. The composition of claim 6 wherein the water-soluble polymer is poly-oxyethylene, poly-oxyethylene-poly-oxypropylene copolymers polyacrylamides, polyglycerols, polyvinylalcohols, polyvinylpyrrolidones, polyvinylpyridine N-oxides, copolymers of vinylpyridine N-oxide and vinylpyridine, polyoxazolines, polyacrylmorpholines or derivatives thereof.
8. The composition of claim 6 wherein the water-soluble polymer is a polypeptide or derivative thereof.
9. The composition of claim 6 wherein the water-soluble polymer further comprises a hydrophobic group other than tocoferol.
10. The composition of claim 1 wherein the surfactant is d- α -tocopheryl polyethylene glycol 1000 succinate (TPGS) or a derivative thereof.
11. The composition of claim 10 wherein the TPGS is present at a concentration from about 0.02 wt % to about 20 wt %.
12. The composition of claim 10 wherein the TPGS is present at a concentration from about 0.02 wt % to about 10 wt %.
13. The composition of claim 10 wherein the TPGS is present at a concentration from about 4 wt % to about 10 wt %.
14. The composition of claim 1 further comprising a targeting molecule.
15. The composition of claim 14 wherein the targeting molecule comprises a targeting moiety and a lipophilic moiety.
16. The composition of claim 15 wherein the targeting moiety is an antibody, hormone, carbohydrate, drug, cytokine or interleukin.
17. The composition of claim 15 wherein the targeting moiety is a peptide.

18. A method of treating an animal comprising administering to the animal a composition comprising:

- (a) a podophyllotoxin, an analog thereof or a derivative thereof; and
- (b) a surfactant;

5 wherein the composition forms a micelle.

19. The method of claim 18 wherein the surfactant is TPGS or a derivative thereof.

20. A method of delivering a podophyllotoxin, an analog thereof or a derivative thereof to a cell comprising administering to the cell a composition comprising:

- (a) a podophyllotoxin, an analog thereof or a derivative thereof; and
- (b) a surfactant;

10

wherein the composition forms a micelle.

21. A method of inhibiting cancer comprising administering to an animal having cancer a composition comprising:

- (a) a podophyllotoxin, an analog thereof or a derivative thereof; and
- (b) a surfactant;

15

wherein the composition forms a micelle.

ABSTRACT

The present invention discloses compositions comprising podophyllotoxins, analogs thereof, or derivatives thereof, and a surfactant. The invention also discloses a podophyllotoxin composition further comprising a targeting moiety. The present invention
5 also discloses a method of delivering a podophyllotoxin, an analog thereof or a derivative thereof, to a cell by administering the described podophyllotoxin compositions. Further, the present invention discloses a method of making the podophyllotoxin compositions.

Docket No.
3874-128

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

NOVEL PODOPHYLLOTOXIN COMPOSITIONS

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on _____ as United States Application No. or PCT International Application Number _____ and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Bruce M. Collins, Reg. No. 20,066
 Ronald Gould, Reg. No. 28,299
 Diane Dunn McKay, Reg. No. 34,586
 Glen E. Books, Reg. No. 24,950
 Anastasia P. Winslow, Reg. No. 40,875
 Charles J. Brumlik, Reg. No. 42,367
 Mary S. Kakefuda, Reg. No. 39,245

For the firm:

Mathews, Collins, Shepherd & Gould, P.A.
 100 Thanet Circle, Suite 306
 Princeton, NJ 08540
 (609) 924-8555 Telephone
 (609) 924-3036 Facsimile

Send Correspondence to: **Mary Kakefuda, Esq.**
Mathews, Collins, Shepherd & Gould, P.A.
100 Thanet Circle, Suite 306
Princeton, NJ 08540

Direct Telephone Calls to: *(name and telephone number)*
Mary Kakefuda (609) 924-8555

Full name of sole or first inventor Olivier Lutz	<i>[Signature]</i>	17.01.00
Sole or first inventor's signature		Date
Residence Quebec, Canada		
Citizenship French		
Post Office Address 3215 boul Cartier #108		
Laval, Quebec H7V 1J8, Canada		

Full name of second inventor, if any Evgueni Klinski	<i>[Signature]</i>	13.01.00
Second inventor's signature		Date
Residence Quebec, Canada		
Citizenship Russian		
Post Office Address 3215 boul Cartier #306		
Laval, Quebec H7V 1J8, Canada		

Full name of third inventor, if any Shengmin Li	<i>Shengmin Li</i>	Date <i>Jan 17, 2000</i>
Third inventor's signature		
Residence Quebec, Canada		
Citizenship Canadian		
Post Office Address 210 Place Benoit #4		
St-Laurent, Quebec H4N 2H4, Canada		

Full name of fourth inventor, if any Valery Alakhov	<i>Valery Alakhov</i>	Date <i>Jan. 13, 2000</i>
Fourth inventor's signature		
Residence Quebec, Canada		
Citizenship Russian		
Post Office Address 48 David Kennedy, Baye D'Urfe		
Montreal, Quebec H9X 3V4, Canada		

Full name of fifth inventor, if any	
Fifth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	